

2185  
#2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED  
APR 17 2002  
Technology Center 2100

In re Application of:  
E. David Neufeld

Serial No.: 09/967,268

Filed: September 28, 2001

For: METHOD AND APPARATUS FOR  
PRESERVING THE INTEGRITY OF A  
MANAGEMENT SUBSYSTEM  
ENVIRONMENT

§  
§  
§  
§  
§  
§  
§  
§  
§  
§

Group Art Unit:

Examiner:

2185

Unassigned

Atty. Docket: COMP:0222/FLE  
P00-3551

Assistant Commissioner  
for Patents  
Washington, D.C. 20231

CERTIFICATE OF MAILING 37 C.F.R. 1.8	
I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date below:	
April 4, 2002	
Date	Jennifer Presswood

Sir:

**INFORMATION DISCLOSURE STATEMENT  
PURSUANT TO 37 C.F.R. §§ 1.97(b)(1) AND 1.98**

In compliance with the duty of disclosure under 37 C.F.R. § 1.56(a), Applicant respectfully requests that this Information Disclosure Statement be entered and that the listed references be considered by the Examiner and made of record. Copies of the listed references are enclosed for the convenience of the Examiner.

In accordance with 37 C.F.R. § 1.97, this Information Disclosure Statement is not to be construed as a representation that a search has been made, as an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b), or as a representation that no other possibly material information, as defined in 37 C.F.R. § 1.56(b), exists.


Applicant wishes to bring to the Examiner's attention the following co-pending and co-owned applications: "Method and Apparatus to Provide Enhanced Computer Protection," Application Serial No.: 09/540,697, filed March 31, 2000, "Method and Apparatus for Providing Enhanced Computer Security," Application Serial No.: 09/540,812, filed March 31, 2000, and "Computer System Having Security Features," Application Serial No.: 09/540,811, filed March 31, 2000.

Furthermore, the references listed on the attached Form 1449 are not to be construed as an admission that these references qualify as prior art as to the above-referenced application or any related application. Rather, these references are being presented for the Examiner's consideration without prejudice to Applicant's right to demonstrate that any of these references do not qualify as prior art should the Examiner choose to apply any of these references.

The following information is listed below in accordance with 37 C.F.R. §1.98. Any explanation of non-English language documents contained in this Information Disclosure Statement is believed to constitute a concise explanation of the relevance of the listed reference as it is presently understood by the individual designated in § 1.56(c) most knowledgeable about the content of the listed reference, in accordance with 37 C.F.R. § 1.98(a)(3).

Respectfully submitted,

Date: April 4, 2002

  
\_\_\_\_\_  
Michael G. Fletcher  
Reg. No. 32,777  
Fletcher, Yoder & Van Someren  
P.O. Box 692289  
Houston, Texas 77269-2289  
(281) 970-4545



#2

Form PTO-1449 (modified)	ATTY. DOCKET NO. COMP:0222 (P00-3551)	SERIAL NO. 09/967,268
List of Patents and Publications For Applicant's Information Disclosure Statement	APPLICANT E. David Neufeld	
(Use several sheets if necessary)	FILING DATE September 28, 2001	GROUP 2185

## U.S. PATENT DOCUMENTS

EXAM. INIT.	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE

RECEIVED  
APR 17 2002  
Technology Center 2100

## FOREIGN PATENT DOCUMENTS

EXAM. INIT.	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION

## OTHER ART

(Author, Title, Journal, Volume, Pertinent Pages, & Date)		
	A	Angelo et al., "Method and Apparatus to Provide Enhanced Computer Protection," Application Serial Number: 09/540,697, filed March 31, 2000.
	B	Angelo et al., "Method and Apparatus for Providing Enhanced Computer Security," Application Serial Number: 09/540,812, filed March 31, 2000.
	C	Angelo et al., "Computer System Having Security Features," Application Serial Number: 09/540,811, filed March 31, 2000.
EXAMINER		DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Information Disclosure Statement--PTO-1449 (Modified)